

To be submitted mandatorily: 1. Your **FATCA** (Foreign Account Tax Compliance Act) Details (if not already submitted) and 2. **Ultimate Beneficial Owner (UBO)** information (for non-individuals only) which can be downloaded from our website. KYC acknowledgement is mandatory for all investors w.e.f. 01/01/2011. However in the case of Micro SIP/Purchase of an individual investor (if the total amount of investment including SIP is upto Rs 50,000 per investor in any rolling 12-month period or in a financial year) instead of PAN/Aadhar proof other approved document can be accepted.

Distributor's ARN & Name 130842	Sub-broker Code	Sub-broker's ARN	EUIN* (Employee Unique Identification Number) E215826
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* Declaration for "Execution only" transaction (only where EUIN box is left blank) ☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

First/Sole Applicant/ Guardian	Second Applicant	Third Applicant
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Name of First/Sole Applicant (capital Letters)

Name of Guardian in case First / Sole Applicant is a Minor (capital Letters)

First/Sole Applicant

Permanent Account Number (PAN)

Aadhar Card No.

Central KYC Number

Second Applicant

Permanent Account Number (PAN)

Aadhar Card No.

Central KYC Number

Third Applicant

Permanent Account Number (PAN)

Aadhar Card No.

Central KYC Number

E-Mail

Mobile No

You will receive an account statement by e-mail. If you wish to receive a physical statement please tick ☐

Transferring funds from Scheme Sundaram

Plan: ☐ Regular ☐ Direct ☐ Others:..... Option: ☐ Dividend Payout ☐ Dividend Re-Investment ☐ Dividend Sweep ☐ Growth ☐ Bonus

Transferring funds to Scheme Sundaram

Plan: ☐ Regular ☐ Direct Option: ☐ Dividend Payout ☐ Dividend Re-Investment ☐ Dividend Sweep ☐ Growth ☐ Bonus

Each STP Amount Rs

STP Frequency

☐ Daily (Minimum amount Rs 1000 Minimum No of installments 20) ☐ Weekly (every Wednesday-Minimum amount Rs 1000)

☐ Monthly (Minimum amount Rs 250 Minimum No of installments 20) ☐ Quarterly (Minimum amount Rs 750 Minimum No of installments 7)

STP Date (for monthly and quarterly options)

☐ 1 ☐ 7 ☐ 14 ☐ 20 ☐ 25

STP Period

STP Starting

STP Ending

OR

☐ **Till further notice***

(*The date may be taken as 31/12/2031 in case the bank needs to input a specific date in their system) (refer Guide to investing through SIP)

Request Date

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding Rs. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN/Aadhar exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please ☒ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Signature

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Request Date

Please see overleaf for Terms & Conditions

Acknowledgement	Request Date:
Folio No	<input type="checkbox"/> Amount.....
From:	To:
Plan: <input type="checkbox"/> Regular <input type="checkbox"/> Direct <input type="checkbox"/> Others	Plan: <input type="checkbox"/> Regular <input type="checkbox"/> Direct Options: <input type="checkbox"/> Dividend <input type="checkbox"/> Payout <input type="checkbox"/> Re-Investment
Options: <input type="checkbox"/> Dividend <input type="checkbox"/> Payout <input type="checkbox"/> Re-Investment <input type="checkbox"/> Sweep <input type="checkbox"/> Growth <input type="checkbox"/> Bonus	<input type="checkbox"/> Sweep <input type="checkbox"/> Growth <input type="checkbox"/> Bonus

Time Stamp/Seal

Contact No. 1860 425 7237 (India)

+91 44 4083 1500 (NRI)

SMS SFUND to 56767

E-mail: customerservices@sundarammutual.com

(NRI): nriservices@sundarammutual.com

www.sundarammutual.com

Sundaram Mutual Fund

Investors are requested to note the following terms and conditions with respect to availing STP facility:

1. Schemes available

For the purpose of **Daily STP**, all open ended equity funds and fixed Income funds are eligible as Transferor Scheme(s) or Transferee Scheme(s).

For the purpose of Weekly, Monthly and Quarterly, all open ended fixed income funds are eligible as Transferor Scheme(s) and all open ended equity funds are eligible as Transferee Scheme(s).

2. Date of transfer

Daily interval (on all business days) for **Daily STP**. Investors should note that in case of Daily STP, the commencement date for transfers shall be the 15th working day from the date of receipt of a valid request and thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable load. Thus, in the event of an intervening non-business day (e.g. Saturday and Sunday), STP triggers will not take place and consequently the total number of Daily STP instalments opted by the investor will be adjusted to that extent i.e., for e.g. if the investor has opted for 20 instalments and if 5 non business days happen to occur in the intervening period, then only 15 Daily STP instalments shall be triggered. In view of the intervening non business days, investors are advised to extend the period by including possible non business days during the transfer period for covering the intended instalments.

Every Wednesday for **Weekly STP**. If Wednesday is a non-business day then on immediate next business day.

For **Monthly and Quarterly STP** on any date as chosen by the investor from the designated dates which are 1, 7, 14, 20 and 25.

Weekly, monthly and quarterly STPs will start on a day as mentioned above falling not later than the 15th working day from the date of receipt of a valid request.

3. Minimum amount of transfer

Daily: Investors are required to instruct for a minimum of 20 transfers of Rs.1000/- and in multiples of Rs.100/- thereafter.

Weekly: Minimum amount Rs 1000 and 6 instalments

Monthly: Minimum amount Rs 250 Minimum No of installments 20

Quarterly: Minimum amount Rs 750 Minimum No of installments 7

4. Load Structure, for all frequencies, of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.

5. Discontinuation of STP, for all frequencies

- a) STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of unit holder. Further, if the outstanding balance in "transferor Scheme" does not cover any of the STP instalment amount, all outstanding units will be liquidated and STP will be effected for such outstanding balance and STP will be terminated for subsequent instalments.
 - b) Investors can also choose to terminate the STP by giving a written notice of at least 7 Business Days in advance to the Official Points of Transactions and accordingly, termination of STP shall be effected from 8th Business Day of receipt of valid request.
6. The provision of 'Minimum redemption amount' specified in the SID of Transferor Scheme and 'Minimum application amount' specified in the SID of the Transferee Schemes will not be applicable for STP.
 7. The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.
 8. Please refer to the respective scheme KIM / SID for more details.

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