

☐ Enrolment / ☐ Cancellation

Date:

(Please refer Product labeling available on cover page of the KIM and terms and conditions overleaf)

The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box wherever applicable and strike off the section(s) not in use.

KEY PARTNER / AGENT INFORMATION (Refer Instruction 11)					FOR OFFICE USE ONLY (TIME STAMP)
ARN & ARN Name	Sub Agent's ARN / Bank Branch Code	Employee Unique Identification Number (EUIIN)	RIA Name & Code	Internal Code for Sub-Agent / Employee	
130842		E215826			

**Consent for sharing Transaction Feed with RIA (Applicable for investments through RIA only)** ☐ I/We hereby give my/our consent to share/provide the transaction feed / portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan in the scheme(s) of Mahindra Mutual Fund, to the above mentioned SEBI Registered Investment Advisor (RIA).

**EUIIN Declaration (only where EUIIN box is left blank)** ☐ I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here	Sign Here	Sign Here
First/ Sole Unit holder/ Guardian/ PoA holder/ Karta	Second Unit holder	Third Unit holder

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

### 1. Applicant Details

Folio No. for existing Unit holder	<input type="text"/>
Name of First / Sole Applicant	<input type="text"/>
Name of Guardian (in case First / Sole Applicant is a minor)	<input type="text"/>
Name of Second Applicant	<input type="text"/>
Name of Third Applicant	<input type="text"/>

### 2. Systematic Withdrawal Plan (SWP) Mandate

Scheme / Plan / Option	Mahindra					
SWP Date (✓)	<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 10 (Default) <input type="checkbox"/> 11 <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 <input type="checkbox"/> 18 <input type="checkbox"/> 19 <input type="checkbox"/> 20 <input type="checkbox"/> 21 <input type="checkbox"/> 22 <input type="checkbox"/> 23 <input type="checkbox"/> 24 <input type="checkbox"/> 25 <input type="checkbox"/> 26 <input type="checkbox"/> 27 <input type="checkbox"/> 28 <input type="checkbox"/> 29 <input type="checkbox"/> 30 <input type="checkbox"/> 31					
Frequency (✓)	<input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly	Period of Enrollment	From (1st Installment)	MM / YYYY	To (Last Installment)	MM / YYYY
Withdrawal Amount (Per Installment)	<input type="text"/>				₹ in Words	₹ in Figures
No. of Installments	<input type="text"/>	Total Withdrawal (Rs.)	<input type="text"/>			

### 3. SWP Form Declaration(s) and Signature(s)

I/We have read and understood the contents of the scheme related documents (i.e. Scheme Information Document / Key Information Memorandum & Statement of Additional Information) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) including the terms and conditions/instructions pertaining to the Systematic Withdrawal Plan Facility as on the date of this transaction. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, AMC, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the AMC / the Fund, their appointed service providers or representatives responsible.

Sign Here	Sign Here	Sign Here
First/ Sole Unit holder/ Guardian/ PoA holder/ Karta	Second Unit holder	Third Unit holder

Please note : Signature(s) should be as it appears on the Application Form for new investors and in the same order. In case the mode of holding is joint, all Unit holders are required to sign.

## Systematic Withdrawal Plan (SWP)

Systematic Withdrawal Plan (SWP) is a facility that enables Unitholders to withdraw specified amounts from the Scheme on a recurrent basis for a specified period at specified frequency by providing a single mandate/ standing instruction. The amount thus withdrawn by redemption will be converted into Units at Applicable NAV based prices and the number of Units so arrived at will be subtracted from the Units balance to the credit of that Unitholder.

### Common Instructions

1. New Investors who wish to enroll for SWP should fill this enrollment form in addition to the application form.
2. Existing investors need to provide the Folio Number and SWP details only, duly signed by the Unitholder(s) as per the mode of holding in the portfolio.
3. One SWP Enrolment Form can be filled for one Scheme/Plan/Option only.
4. Investors are advised to read the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the Scheme and Statement of Additional Information (SAI) carefully before investing. The SID / KIM of the Scheme and SAI are available with the ISCs of Mahindra Mutual Fund, brokers/distributors and also displayed at the Mahindra Mutual Fund website i.e. [www.mahindramutualfund.com](http://www.mahindramutualfund.com)
5. Unitholders are advised to consult their tax consultant with regards to the treatment of the withdrawal of units from the taxation point of view.

### Features and Terms & Conditions of Systematic Withdrawal Plan (SWP)

Particulars	Available Frequency
	Monthly / Quarterly
SWP Dates Offered	Any date*
Minimum amount for SWP installment (subject to completion of Lock-in Period in Mahindra Mutual Fund Kar Bachat Yojana)	Rs. 500/- & in multiples of Re. 1/- thereafter
Minimum Number of Installments	2

**Default Frequency – Monthly**    **Default Date (for both monthly and quarterly frequency) – 10th**

\* If any SWP installment due date falls on a non-Business day, then the respective transactions will be processed on the next Business day. However, in case the chosen date is not available in a particular month, the SWP will be processed on the last day of that month. E.g., if selected date is 31st, SWP installment for the month of September will be processed on 30th September. However, if 30th September happens to be a Non-Business Day, the SWP will be processed on the next Business Day. **Note:** Anyone or more SWP transaction dates can be selected by the Unit Holders under the Monthly and Quarterly frequencies.

1. Please contact the nearest Investor Service Centre (ISC) of Mahindra Mutual Fund for complete list of eligible Schemes for SWP.
2. The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 7 working days before the date of commencement / start date of SWP. Unit holder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 working days prior to next SWP execution date.
3. The Unit holder can withdraw a fixed amount on monthly/quarterly basis by redemption of units in the Unit holders' account. The provision of Minimum Redemption Amount of the designated Scheme(s) shall not be applicable to SWP transactions.
4. The load structure applicable to the Scheme prevailing at the time of enrollment will be applicable for all SWP transactions under the mandate.
5. If the SWP period or no. of installments is not specified in the transaction form, the SWP transactions will be processed until the balance of units in the unit holder's folio in the Scheme becomes zero.
6. The SWP mandate may be discontinued by a Unit holder by giving a written notice of at least 7 working days prior to the next SWP transaction date. SWP mandate will terminate automatically if all Units held by the unitholder in the Scheme are redeemed or upon the Mutual Fund receiving a written intimation of death of the sole / 1st Unit holder.  
If investor has selected multiple dates for registration and thereafter wishes to cancel any specific date(s) against multiple dates, then Investor has to provide the cancellation request to cease the entire multiple dates registered and submit a fresh registration request with the preferred dates. Any cancellation requests to cease specific dates against multiple dates registered, will be rejected.
7. Units marked under lien or pledge in the Scheme will not be eligible for SWP.
8. SWP in a folio of minor will be registered only upto the date of minor attaining majority even though the instruction may be for the period beyond that date.
9. In case the investor purchases additional Units in the Scheme under the same folio, the SWP facility would be extended to such units also.
10. The AMC reserves the right to introduce SWP facility at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. The AMC / Trustee further reserves the right to change / modify the terms and conditions under the SWP prospectively at a future date.
11. **Investments through distributors**

As per directions of Securities and Exchange Board of India (SEBI), Investors can route their Application Forms directly and /or through the distributors /employees of the distributor who hold a valid certification from the National Institute of Securities Markets (NISM) and ARN provided by AMFI. Further, no agents/ distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI).

### Employee Unique Identification Number (EUIIN)

Every employee/ relationship manager/ sales person of the distributor of mutual fund products is required to quote the EUIIN obtained by him/her from AMFI in the Application Form. Investors are requested to verify the AMFI registration details from their Distributor. However, in exceptional cases, where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIIN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form.

### Overseas Distributors

For Overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

### Direct Investments

Investors applying Directly must mention "Direct" in ARN column. In case Distributor code is mentioned in the Application Form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received without indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plan".